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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/778,290	02/07/2001	Michael G. Wyllie	PC10325AAKM	8690
1095	7590	11/30/2004	EXAMINER	
NOVARTIS CORPORATE INTELLECTUAL PROPERTY ONE HEALTH PLAZA 430/2 EAST HANOVER, NJ 07936-1080			JONES, DWAYNE C	
			ART UNIT	PAPER NUMBER
			1614	

DATE MAILED: 11/30/2004

Please find below and/or attached an Office communication concerning this application or proceeding.

## Office Action Summary

Application No.

09/778,290

Applicant(s)

WYLLIE, MICHAEL G.

Examiner

Dwayne C Jones

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-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

### Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

### Status

- 1) ☒ Responsive to communication(s) filed on the response of 12SEP2004.
- 2a) ☒ This action is **FINAL**. 2b) ☐ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

### Disposition of Claims

- 4) ☒ Claim(s) 29-39 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 29-39 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

### Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

### Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some \* c) ☐ None of:
- ☐ Certified copies of the priority documents have been received.
  - ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
  - ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

### Attachment(s)

- |  |   |
|--|---|
| 1) <input type="checkbox"/> Notice of References Cited (PTO-892)   | 4) <input type="checkbox"/> Interview Summary (PTO-413)<br>Paper No(s)/Mail Date. _____ |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948)                                   | 5) <input type="checkbox"/> Notice of Informal Patent Application (PTO-152)             |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)<br>Paper No(s)/Mail Date _____ | 6) <input type="checkbox"/> Other: _____  |

## **DETAILED ACTION**

### ***Status of Claims***

1. Claims 29-39 are pending.
2. Claims 29-39 are rejected.

### ***Response to Arguments***

3. Applicant's arguments filed September 12, 2004 have been fully considered but they are not persuasive. Applicants present the following arguments. First, applicants allege that there is support in the instant specification for all alpha-adrenoceptor antagonists in combination with all muscarinic antagonists for the treatment of lower urinary tract symptoms associated with benign hyperplasia. Second, applicant argues that there is no motivation to combine these prior art references in order to arrive at the instantly claimed subject matter. Third, applicant alleges that Hieble et al. teach away from the instant invention by stating that muscarinic antagonists should not be used in patients with outlet obstruction.

4. First, applicants allege that there is support in the instant specification for all alpha-adrenoceptor antagonists in combination with all muscarinic antagonists for the treatment of lower urinary tract symptoms associated with benign hyperplasia. However, the instant invention does not teach nor provide sufficient guidance to one skilled in the art to utilize each and every alpha-adrenoceptor antagonists in combination with all muscarinic antagonists for the treatment of lower urinary tract symptoms associated with benign hyperplasia. In fact, the instant specification only

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teaches of the combined administration of only one alpha-adrenoceptor antagonist, namely doxazosin, in combination with only one muscarinic antagonist, namely darifenacin, for the treatment of lower urinary tract symptoms associated with benign hyperplasia, (see Examples 3 and 4 of the instant specification). For these reasons and those of record, the rejection of Claims 29-33, 35, and 37 are rejected under 35 U.S.C. 112, first paragraph, because the specification, while being enabling for the alpha-adrenoceptor antagonists of 4-amino-6,7-dimethoxy-2-(5-methanesulfonamido-1,2,3,4-tetrahydroisoquinol-2-yl)-5-(2-pyridyl)quinazoline, doxazosin, tetrazosin, abanoquil, prazosin, and indormain and the muscarinic antagonists of darifenacin, tolterodine, and oxybutynin as well as structurally related compounds for each respective antagonist, does not reasonably provide enablement for other types of alpha-adrenoceptor antagonists and muscarinic antagonists that are not structurally related to the ones listed in claims 34 and 36 is maintained.

5. Secondly, in response to applicant's argument that there is no suggestion to combine the references, the examiner recognizes that obviousness can only be established by combining or modifying the teachings of the prior art to produce the claimed invention where there is some teaching, suggestion, or motivation to do so found either in the references themselves or in the knowledge generally available to one of ordinary skill in the art. See *In re Fine*, 837 F.2d 1071, 5 USPQ2d 1596 (Fed. Cir. 1988) and *In re Jones*, 958 F.2d 347, 21 USPQ2d 1941 (Fed. Cir. 1992). In this case, Hieble et al. teach that one of the symptoms of benign hyperplasia causes an increased resistance to the flow of urine, (see Hieble et al. page 274s). Hieble et al. also disclose

of treating the lower urinary tract condition with an alpha-adrenoceptor antagonist, (see page 274s). Ukimura teaches of the administration of alpha-adrenoceptor antagonists with muscarinic receptor antagonists for the treatment of lower urinary tract conditions, (see abstract). In fact, Ukimura teaches of using the alpha-adrenoceptor antagonist of prazosin and the muscarinic receptor antagonist of oxybutynin to increase bladder capacity, (see page 258). Since it is known in the art that one of the symptoms of benign hyperplasia causes an increased resistance to the urethral outflow of urine, it would have been obvious to the skilled artisan to combine these pharmaceuticals to treat the very same ailment that affects the lower urinary tract.

6. Third, applicant alleges that Hieble et al. teach away from the instant invention by stating that muscarinic antagonists should not be used in patients with outlet obstruction. This allegation is disputed because the prior art reference of Hieble et al. teaches that one of the symptoms of benign hyperplasia causes an increased resistance to the flow of urine, (see Hieble et al. page 274s). This allegation is directed to the data obtained from purinergic receptors, (see page 287s, 1<sup>st</sup> paragraph). Hieble et al. do teach that muscarinic receptor antagonists are used to treat urge incontinence, (see paragraphs 2 and 3 on page 287s). Combining this statement of using muscarinic receptor antagonists with the teaching that one of the symptoms of benign hyperplasia causes an increased resistance to the flow of urine, the skilled artisan is provided with the motivation to use muscarinic receptor antagonists with alpha-adrenoceptor antagonists, especially in view of *In re Kerkhoven*, 626 F.2d 846, 850, 205 USPQ 1069, 1072 (CCPA 1980).

***Claim Rejections - 35 USC § 112***

7. The following is a quotation of the first paragraph of 35 U.S.C. 112:

The specification shall contain a written description of the invention, and of the manner and process of making and using it, in such full, clear, concise, and exact terms as to enable any person skilled in the art to which it pertains, or with which it is most nearly connected, to make and use the same and shall set forth the best mode contemplated by the inventor of carrying out his invention.

8. Claims 29-33, 35, and 37 are rejected under 35 U.S.C. 112, first paragraph, because the specification, while being enabling for the alpha-adrenoceptor antagonists of 4-amino-6,7-dimethoxy-2-(5-methanesulfonamido-1,2,3,4-tetrahydroisoquinol-2-yl)-5-(2-pyridyl)quinazoline, doxazosin, tetrazosin, abanoquil, prazosin, and indormain and the muscarinic antagonists of darifenacin, tolterodine, and oxybutynin as well as structurally related compounds for each respective antagonist, does not reasonably provide enablement for other types of alpha-adrenoceptor antagonists and muscarinic antagonists that are not structurally related to the ones listed in claims 34 and 36. The specification does not enable any person skilled in the art to which it pertains, or with which it is most nearly connected, to use the invention commensurate in scope with these claims.

The factors to be considered in determining whether a disclosure meets the enablement requirement of 35 U.S.C. 112, first paragraph, have been described in In re Wands, 8 USPQ2d 1400 (Fed. Cir. 1988). Among these factors are: (1) the nature of the invention; (2) the state of the prior art; (3) the relative skill of those in the art; (4) the predictability or unpredictability of the art; (5) the breadth of the claims; (6) the amount of direction or guidance presented; (7) the presence or absence of working examples; and (8) the quantity of experimentation necessary. When the above factors are

weighed, it is the examiner's position that one skilled in the art could not practice the invention without undue experimentation.

(1) The nature of the invention:

The instant invention is directed to treating lower urinary tract symptoms associated with benign hyperplasia. The method comprises administering the functional groups of alpha-adrenoceptor antagonists and muscarinic antagonists.

(2) The state of the prior art

The compounds of the inventions are administering the functional groups of alpha-adrenoceptor antagonists and muscarinic antagonists. However, the prior art does not teach that these compounds possess these types of properties to treat lower urinary tract symptoms, see Hieble et al..

(3) The relative skill of those in the art

The relative skill of those in the art of pharmaceuticals is high.

(4) The predictability or unpredictability of the art

The unpredictability of the pharmaceutical art is very high. In fact, the courts have made a distinction between mechanical elements function the same in different circumstances, yielding predictable results, chemical and biological compounds often react unpredictably under different circumstances. Nationwide Chem. Corp. v. Wright,

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458 F. Supp. 828, 839, 192 USPQ 95, 105(M.D. Fla. 1976); Aff'd 584 F.2d 714, 200 USPQ 257 (5<sup>th</sup> Cir. 1978); In re Fischer, 427 F.2d 833, 839, 166 USPQ 10, 24 (CCPA 1970). Thus, the physiological activity of a chemical or biological compound is considered to be an unpredictable art. For example, in Ex Parte Sudilovsky, the Court held that Appellant's invention directed to a method for preventing or treating a disease known as tardive dyskinesia using an angiotensin converting enzyme inhibitor involved unpredictable art because it concerned the pharmaceutical activity of the compound. 21 USPQ2d 1702, 1704-5 (BDAI 1991); In re Fisher, 427 F.2d 1557, 1562, 29 USPQ, 22 (holding that the physiological activity of compositions of adrenocorticotrophic hormones was unpredictable art); In re Wright, 999 F.2d 1557, 1562, 29 USPQ d, 1570, 1513-14 (Fed. Cir. 1993) (holding that the physiological activity of RNA viruses was unpredictable art); Ex Parte Hitzeman, 9 USPQ2d 1821, 1823 (BDAI 1987); Ex Parte Singh, 17 USPQ2d 1714, 1715, 1716 (BPAI 1990). Likewise, the physiological or pharmaceutical activity of alpha-adrenoceptor antagonists and muscarinic antagonists prior to filing of the instant invention was an unpredictable art. In addition, these terms do not predict the effectiveness or compatibility of future compounds that fall under the umbrella of the functional titles of alpha-adrenoceptor antagonists and muscarinic antagonists.

(5) The breadth of the claims

The instant claims are very broad. For instance, claim 29 is directed to the plethora of compounds of the functional titles of alpha-adrenoceptor antagonists and



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muscarinic antagonists. The breadth of claims was a factor in Amgen v. Chugai Pharm. Co., 927 F.2d 1200, 18 USPQ2d (Fed. Cir.), cert. Denied, 502 U.S. 856 (1991). In the Amgen case, the patent claims were directed to DNA sequences that encoded amino acid sequences. Because a very small change in the amino acid sequence of a protein can result in a very large change in the structure-function activity of a protein and because the laws of protein folding are in such a primitive state, predicting protein structure (and hence, activity) while knowing only the sequence of the protein is akin to predicting the weather for a date in the future.

(6) The amount of direction or guidance presented

The amount of guidance or direction needed to enable the invention is inversely related to the degree of predictability in the art. In re Fisher, 839, 166 USPQ 24. Thus, although a single embodiment may provide broad enablement in cases involving predictable factors, such as mechanical or electrical elements, in cases involving unpredictable factors, such as most chemical reactions and physiological activity, more teaching or guidance is required. In re Fischer, 427 F.2d 839, 166 USPQ 24; Ex Parte Hitzeman, 9 USPQ 2d 1823. For example, the Federal Circuit determined that, given the unpredictability of the physiological activity of RNA viruses, a specification requires more than a general description and a single embodiment to provide an enabling disclosure for a method of protecting an organism against RNA viruses. In re Wright, 999 F.2d 1562-63, 27 USPQ2d 1575. In the instant case, given the unpredictability of the physiological or pharmaceutical activity of the functional titles of alpha-adrenoceptor

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antagonists and muscarinic antagonists to be effective in treating lower urinary tract symptoms is insufficient for enablement. The specification provides no guidance, in the way of enablement for the combination of all alpha-adrenoceptor antagonist in combination with all muscarinic antagonists other than the alpha-adrenoceptor antagonists of 4-amino-6,7-dimethoxy-2-(5-methanesulfonamido-1,2,3,4-tetrahydroisoquinol-2-yl)-5-(2-pyridyl)quinazoline, doxazosin, tetrazosin, abanoquil, prazosin, and indormain and the muscarinic antagonists of darifenacin, tolterodine, and oxybutynin as well as structurally related compounds for each respective antagonist. In re Fisher, 427 F.2d 833, 166 USPQ 18 (CCPA 1970) (contrasting mechanical and electrical elements with chemical reactions and physiological activity). See also In re Wright, 999 F.2d 1557, 27 USPQ2d 1510 (Fed. Cir. 1993); In re Vaeck, 947 F.2d 488, 20 USPQ2d 1438 (Fed. Cir. 1991). This is because it is not obvious from the disclosure of one species, what other species will work. In re Dreshfield, 110 F.2d 235, 45 USPQ 36 (CCPA 1940), gives this general rule: "It is well settled that in cases involving chemicals and chemical compounds, which differ radically in their properties it must appear in an applicant's specification either by the enumeration of a sufficient number of the members of a group or by other appropriate language, that the chemicals or chemical combinations included in the claims are capable of accomplishing the desired result." The article "Broader than the Disclosure in Chemical Cases," 31 J.P.O.S. 5, by Samuel S. Levin covers this subject in detail. A disclosure should contain representative examples, which provide reasonable assurance to one skilled in the art that the compounds fall within the scope of a claim will possess the alleged activity. See In re

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Riat et al. (CCPA 1964) 327 F2d 685, 140 USPQ 471; In re Barr et al. (CCPA 1971) 444 F 2d 349, 151 USPQ 724.

(7) The presence or absence of working examples

As stated above, the specification discloses alpha-adrenoceptor antagonists and the muscarinic antagonists that have the ability to treat lower urinary tract symptoms associated with benign prostate hyperplasia. However, the instant specification only has enablement for the alpha-adrenoceptor antagonists of 4-amino-6,7-dimethoxy-2-(5-methanesulfonamido-1,2,3,4-tetrahydroisoquinol-2-yl)-5-(2-pyridyl)quinazoline, doxazosin, tetrazosin, abanoquil, prazosin, and indormain and the muscarinic antagonists of darifenacin, tolterodine, and oxybutynin as well as structurally related compounds for each respective antagonist.

(8) The quantity of experimentation necessary

The quantity of experimentation needed to be performed by one skilled in the art is yet another factor involved in the determining whether "undue experimentation" is required to make and use the instant invention. "The test is not merely quantitative, since a considerable amount of experimentation is permissible, if it is merely routine, or if the specification in question provides a reasonable amount of guidance with respect to the direction in which the experimentation should proceed." In re Wands, 858 F.2d 737, 8 USPQ2d 1404 (citing In re Angstadt, 537 F.2d 489, 502-04, 190 USPQ 214, 218 (CCPA 1976)). For these reasons, one of ordinary skill in the art would be burdened

with undue "painstaking experimentation study" to determine all of the compounds present and future, that are embraced by the functional descriptions of all compounds described broadly as alpha-adrenoceptor antagonists and the muscarinic antagonists that would be enabled in this specification.

9. The rejection of claims 29-38 under 35 U.S.C. 112, first paragraph, as failing to comply with the written description requirement is withdrawn in response to the amendment of September 12, 2004.

10. The rejection of claims 29-39 under 35 U.S.C. 112, second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which applicant regards as the invention is withdrawn in response to the amendment of September 12, 2004.

***Claim Rejections - 35 USC § 103***

11. The text of those sections of Title 35, U.S. Code not included in this action can be found in a prior Office action.

12. This application currently names joint inventors. In considering patentability of the claims under 35 U.S.C. 103(a), the examiner presumes that the subject matter of the various claims was commonly owned at the time any inventions covered therein were made absent any evidence to the contrary. Applicant is advised of the obligation under 37 CFR 1.56 to point out the inventor and invention dates of each claim that was not commonly owned at the time a later invention was made in order for the examiner to

consider the applicability of 35 U.S.C. 103(c) and potential 35 U.S.C. 102(e), (f) or (g) prior art under 35 U.S.C. 103(a).

13. The rejection of claims 29-39 under 35 U.S.C. 103(a) as being unpatentable over Hieble et al. in view of Ukimura is maintained and repeated. Hieble et al. teach that one of the symptoms of benign hyperplasia causes an increased resistance to the flow of urine, (see Hieble et al. page 274s). Hieble et al. also disclose of treating the lower urinary tract condition with an alpha-adrenoceptor antagonist, (see page 274s).

Ukimura teaches of the administration of alpha-adrenoceptor antagonists with muscarinic receptor antagonists for the treatment of lower urinary tract conditions, (see abstract). In fact, Ukimura teaches of using the alpha-adrenoceptor antagonist of prazosin and the muscarinic receptor antagonist of oxybutynin to increase bladder capacity, (see page 258). Since is known in the art that one of the symptoms of benign hyperplasia causes an increased resistance to the urethral outflow of urine, it would have been obvious to the skilled artisan to combine these pharmaceuticals to treat the very same ailment that affects the lower urinary tract. In addition, "It is prima facie obvious to combine two compositions each of which is taught by the prior art to be useful for the same purpose, in order to form a third composition to be used for the very same purpose. . . .[T]he idea of combining them flows logically from their having been individually taught in the prior art." *In re Kerkhoven*, 626 F.2d 846, 850, 205 USPQ 1069, 1072 (CCPA 1980).

***Conclusion***

14. **THIS ACTION IS MADE FINAL.** Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire **THREE MONTHS** from the mailing date of this action. In the event a first reply is filed within **TWO MONTHS** of the mailing date of this final action and the advisory action is not mailed until after the end of the **THREE-MONTH** shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than **SIX MONTHS** from the mailing date of this final action.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to D. C. Jones whose telephone number is (571) 272-0578. The examiner can normally be reached on Mondays, Tuesdays, Wednesdays, and Fridays from 8:30 am to 6:00 pm.

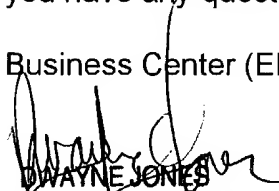
If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Christopher Low, may be reached at (571) 272-0951. The official fax No. for correspondence is (703) 872-9306.

Also, please note that U.S. patents and U.S. patent application publications are no longer supplied with Office actions. Accordingly, the cited U.S. patents and patent application publications are available for download via the Office's PAIR, see <http://pair->

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WAYNE JONES  
PRIMARY EXAMINER

Tech. Ctr. 1614  
November 29, 2004